

Official Rules and Regulations for Everything you Wanted to Know contest

URL: www.checkfirst.ca/askasc

Title of page:

Contest Rules – Ask ASC

Official Rules and Regulations

1. How to Enter: To enter the ASC's "#ASKASC" contest (the "Contest") follow the instructions on www.checkfirst.ca and submit your entry via Twitter or Facebook. Contest starts at 10:00 a.m. Mountain Standard Time ("MST") on Tuesday, October 3, 2017 and closes on Tuesday, October 31, 2017 at 11:59:59 p.m. MST (the "Contest Period"). There is one grand prize as defined in section 5 below (the "Grand Prize") to be won from among all the eligible entries received during the Contest Period. No purchase necessary. Void where prohibited.

2. Contest Sponsor: The contest sponsor is the Alberta Securities Commission (the "Contest Sponsor") or "ASC".

3. Eligibility: To enter and to be eligible to win, entrant must be a legal resident of Alberta and be over the age of majority (18 years). Void where otherwise prohibited or restricted by law, rule, regulation or ordinance. ASC employees or members and their immediate family or those living in the same household of an ASC employee or member are not eligible to enter. Immediate family includes: spouse (including common-law), father, mother, brother, sister, son, daughter, grandmother, grandfather, grandson, granddaughter and those of the ASC employee's or ASC member's spouse.

4. Limitation of Eligible Entries: To enter and to be eligible to win, entrant must submit one entry during the Contest Period and no more than three entries during the Contest Period.

a) To be eligible, each entry must contain a question relating to general investing but cannot seek advice on your own personal investments. Questions also must not include specific dollar amounts or names of individuals, projects or companies. For example, a question asking "what is a ponzi scheme?" is an eligible entry. An ineligible entry is "Should I invest a \$100,000 in company X?" The Contest Sponsor will endeavour to provide answers to submitted entry questions based on Alberta securities laws prior to the draw for the Grand Prize and entrant acknowledges that upon submitting an entry question, the Contest Sponsor may address them in response to their question using their Twitter handle or Facebook name and in posting the question and answer on the ASC website.

b) Anyone found to be using multiple accounts to enter will be ineligible. Each correct entry, to a maximum of three during the contest period and submitted during the required Contest period, will count as one entry into the grand prize draw. Entrants may submit an entry via Twitter or Facebook.

c) Entrants submitting their entry via Twitter or Facebook may only submit three entries per person during the Contest Period. Entries submitted via Twitter and Facebook must be

addressed to @ASCUpdates. The hash tag #ASKASC must be included with each question. In the event of a dispute regarding an entry, entries received shall be deemed to be submitted by the "Authorized Account Holder" of the relevant Twitter account submitted at the time of entry. "Authorized Account Holder" is defined as the natural person who is registered to an account using an email assigned by an internet access provider, online service provider, or other organization (e.g. business, educational institution, etc.) that is responsible for assigning email addresses for the domain associated with the submitted email address.

5. Grand Prize: There is one Grand Prize: an Apple Watch Series 3 with GPS. The Grand Prize is valued at \$429 CAD plus GST. Prize must be accepted as awarded. Prize may not be sold or transferred and is not convertible to cash. The Contest Sponsor reserves the right to substitute the prize in whole or in part in the event that all or any component of a prize is unavailable. Prize winner is solely responsible for all costs not expressly described herein.

6. Award of Prize: On Friday, November 3, 2017, at approximately 2:00 p.m. MST, a random draw for the Grand Prize will take place at the office of the Contest Sponsor in Calgary, Alberta, Canada from among all eligible entries received during the Contest Period. The odds of winning will depend upon the total number of eligible entries received during the Contest Period. The Contest Sponsor, acting reasonably, will attempt to contact or notify the potential winner, via tweet from the @ASCUpdates account if entry was via Twitter or via message from the ASC Facebook account within two (2) business days (November 7, 2017) after the draw. In the event the potential winner cannot be contacted or does not respond within six (6) business days after the draw (November 13, 2017), he or she will be disqualified and an alternate potential winner will be drawn. Proof of identification and residency must be provided upon request. In order to be declared a winner, the potential winner must first correctly answer, unaided, a time limited mathematical skill testing question administered by the Contest Sponsor. Before being awarded a prize, the potential winner will be required to sign and return within the time stipulated by the Contest Sponsor, a full release and waiver form stating that he/she has read and understood the Rules, grants all consents required, authorizes the Contest Sponsor to use, publish and reproduce the winner's name, city of residence, photograph, likeness and image, voice, biographical information and written and oral statements in any media in connection with any promotion or publicity, and/or for general news, entertainment and information purposes at no additional compensation to the potential winner, beyond the awarding of the prize, accepts the prize as offered and releases the Contest Sponsor from any and all liability of any kind arising out of the potential winner's participation in this Contest and receipt and use of the prize. In the event that the potential winner does not comply with all the provisions as contemplated in these Rules, Contest Sponsor shall have the right to disqualify potential winner, and draw an alternate potential winner and the Contest Sponsor shall be fully and completely released and discharged from any liability or responsibility in this regard.

7. Acceptance of Conditions: By entering this Contest the entrants and participants automatically agree to accept and abide by these Rules. All decisions of the Contest Sponsor with respect to any aspect of this Contest, including without limitation the eligibility of entries, are final and binding on all entrants in all matters as they relate to this Contest.

General Terms and Conditions

8. All entries become property of Contest Sponsor who assumes no responsibility for garbled, lost, late, delayed, destroyed or misdirected tweets or Facebook posts, or any computer errors or malfunctions. No correspondence will be entered into regarding the

Contest except with entrants requesting the Rules by e-mail. The Contest Sponsor does not assume any responsibility for incorrect or inaccurate capture of entry information, technical malfunctions, human or technical error, sending or printing errors, lost, delayed or garbled data or transmissions, omission, interruption, deletion, defect or failures of any telephone or computer line or network, computer equipment, software, communications websites or any combination thereof. Entry materials-data that have been tampered with or altered are void. If for any reason, in the opinion of the Contest Sponsor, in their sole discretion, the Contest is not capable of running as originally planned, or if the administration, security, fairness, integrity or the proper conduct of the Contest is corrupted or adversely affected, including by reason of infection by computer virus, bugs, tampering, unauthorized intervention, fraud, technical failures or any other causes beyond their control, the Contest Sponsor reserves its right to cancel, terminate, modify, amend, extend or suspend the Contest including canceling any method of entry, and select a winner from previously received eligible entries. The Contest Sponsor reserves its right to modify the Rules without materially affecting the terms and conditions hereof. The Contest Sponsor reserves its right in its sole discretion to disqualify any individual it finds to be tampering with the entry process or the operation of the Contest or to be acting in violation of the Rules or otherwise in a disruptive manner. The Contest Sponsor in its sole discretion, further reserves its right to terminate the on-line portion, as applicable, of the Contest and conduct the drawing from all on-line entries and all other entries (as applicable) previously received during the Contest Period. Any attempts to deliberately damage the Contest web site or to undermine the legitimate operation of this Contest is a violation of criminal and civil laws and should such an attempt be made the Contest Sponsor reserves its right to seek remedies and damages to the fullest extent of the law. Contest Sponsor shall not be held responsible for any errors or negligence that may arise or occur in connection with the Contest including any damage to an entrant's computer equipment, system, software or any combination thereof, as a result of their participation in this Contest or from downloading any material from the Contest web site, where applicable.

9. Contest is subject to all applicable federal, provincial and municipal laws and regulations. Personal information collected from entrants will be used by Contest Sponsor for the purpose of administering this Contest and, if consent is given at the time of entry, to provide the entrants with information relating to the Contest Sponsor by e-mail. Entrants are able to opt in with respect to receiving such marketing information online. The Contest Sponsor will not sell, share or otherwise disclose personal information of entrants with third parties, other than to third parties engaged by them to fulfill the above purposes or as permitted or required by law or the Rules. By entering the Contest, entrants consent to the manner of collection, use and disclosure of personal information as set out in the Alberta Securities Commission's Disclaimer at <http://www.albertasecurities.com/about/Pages/asc-disclaimer.aspx>

10. In the event of any discrepancy or inconsistency between the terms and conditions of the Rules and disclosures or other statements contained in any Contest related materials, including but not limited to the Contest entry, or point of sale, television, print or online advertising, the terms and conditions of the Rules shall prevail, govern and control.

11. All intellectual property, including but not limited to trade-marks, trade-names, logos, designs, promotional materials, web pages, source codes, drawings, illustrations, slogans and representations are owned by the Contest Sponsor. All rights are reserved. Unauthorized copying or use of any copyrighted material or intellectual property without the express written consent of the Contest Sponsor is strictly prohibited.